

Generalized symmetries  
and

Anomaly matching

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# Outline

## 1. Anomaly in Quantum Mechanics

Demonstration of the idea

## 2. $SU(N)$ Yang-Mills theories

- What is center sym?
- Anomaly involving center sym.

## 3. QCD (-like) theories

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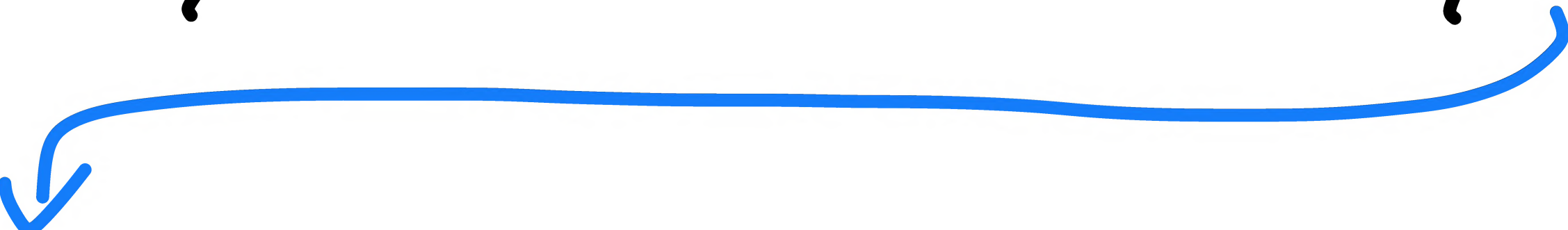
# General idea

Solving QFT is a very difficult task.

⇒ We want to get a guideline  
for possible interesting dynamics.

Strategy

Pay attention to symmetry!

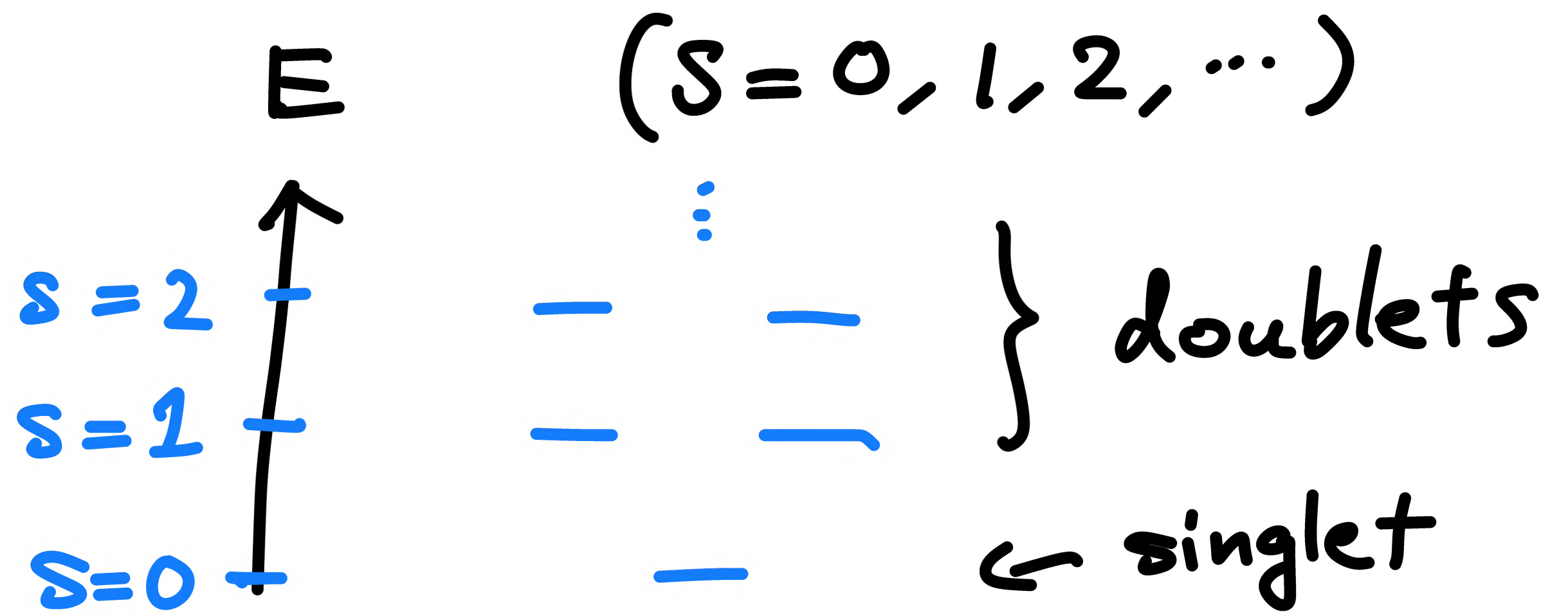
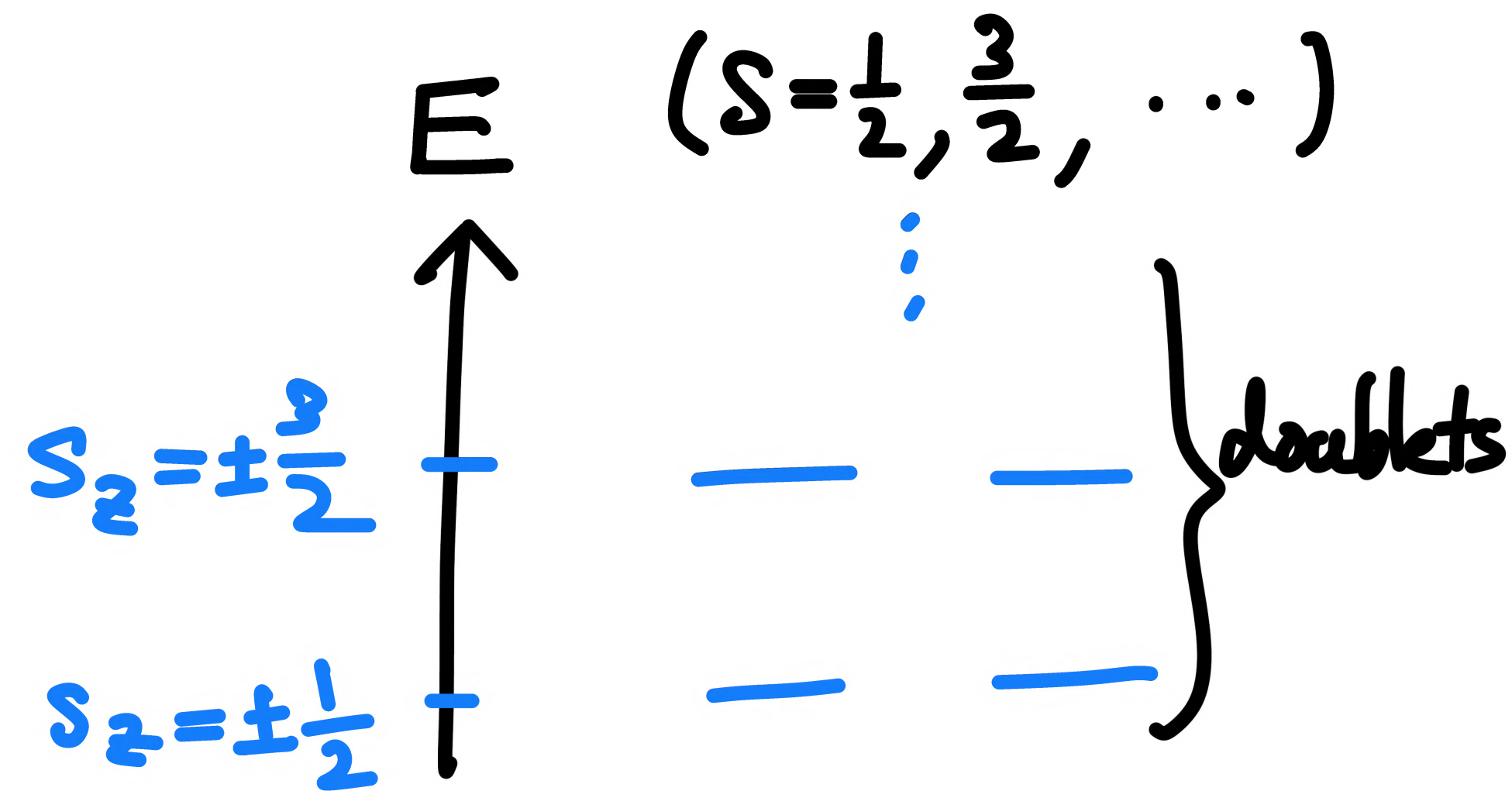


Anomaly of symmetry sometimes tell  
nontrivial dynamics must occur.



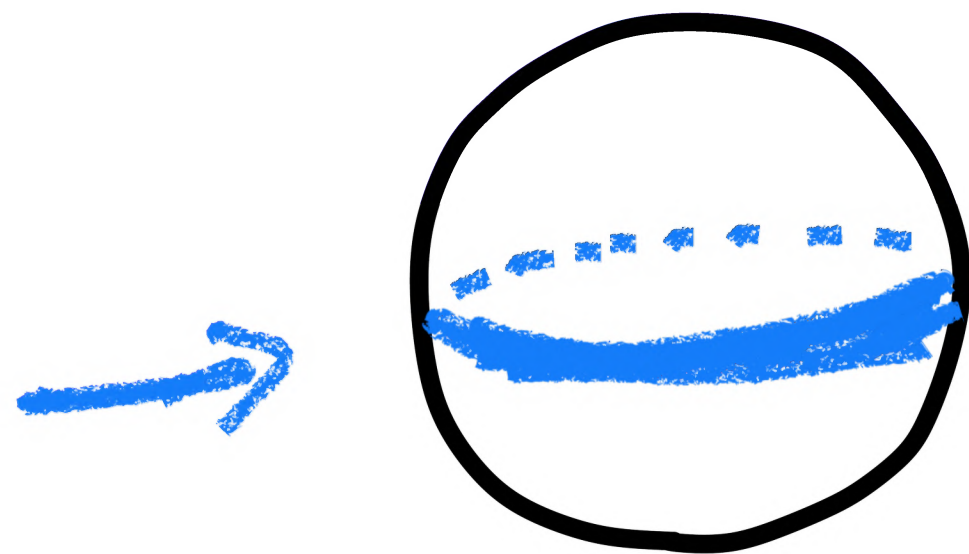
# QM of a single spin / QM on a circle $S^1$

Hamiltonian  $\hat{H} = J \hat{S}_z^2$  ( $\hat{S}_z = -S, -S+1, \dots, S$ ).



$J \gg 1$

classical  
vacua



$$\mathcal{L}_{\text{eff}} = \frac{1}{2} \int d\tau \left( \frac{\partial \phi}{\partial \tau} \right)^2 + \dots$$

$(\phi \sim \phi + 2\pi)$

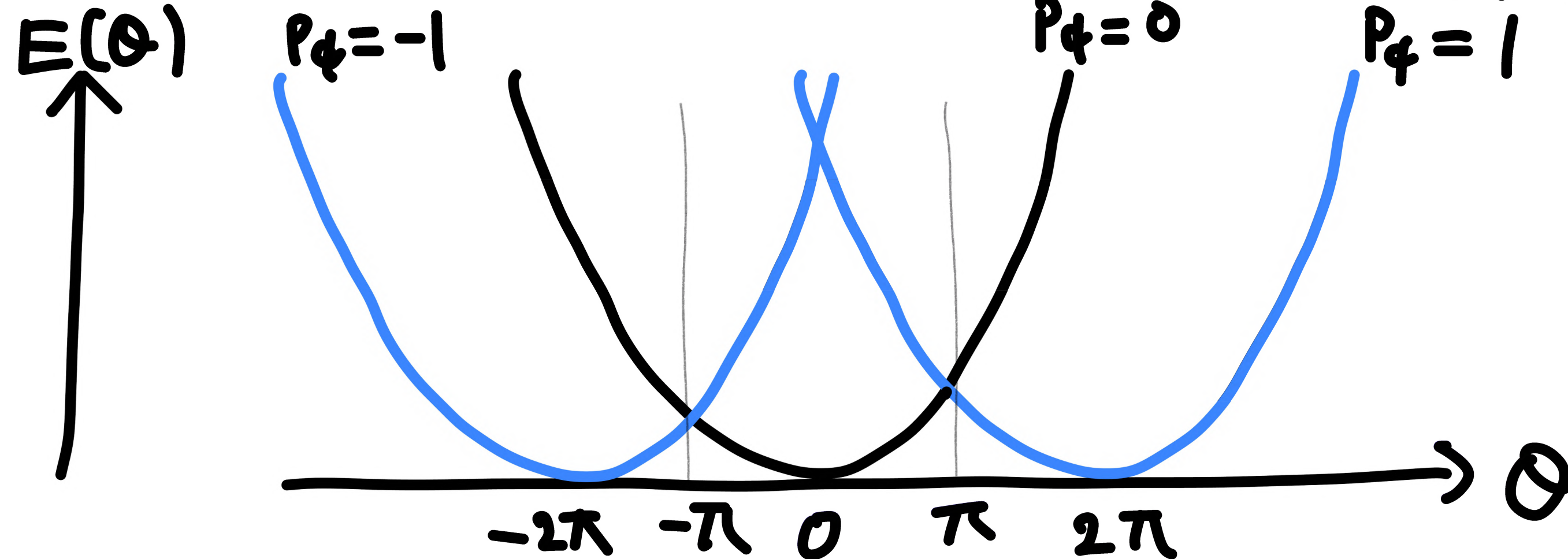
How does low-energy EFT  $\mathcal{L}_{\text{eff}}$  capture  $S = \frac{1}{2}, \frac{3}{2}, \dots$  or  $S = 1, 2, \dots$ ?

$\Rightarrow$   $\theta$ -angle :  $\theta = 2\pi S$

$$\mathcal{L}_{\text{eff}} = \int d\tau \frac{1}{2} \left( \frac{\partial \phi}{\partial \tau} \right)^2 + \underbrace{\frac{i\theta}{2\pi} \int d\tau \left( \frac{\partial \phi}{\partial \tau} \right)}_{\theta \times \text{winding \#}}.$$

So,  $\theta \sim \theta + 2\pi$ .

Hamiltonian for  $\mathcal{L}_{\text{eff}}$  :  $H_{\text{eff}} = \frac{1}{2} \left( \hat{p}_\phi - \frac{\theta}{2\pi} \right)^2$ .





# Spectral (non)degeneracy from symmetry

•  $U(1)$  sym  $\hat{U}_\alpha = \exp(i\alpha \hat{p}_\phi) \Rightarrow \hat{p}_\phi = n$  is a good quantum #.

•  $\mathbb{Z}_2$  symmetry  $\phi \mapsto -\phi$ .

$S = 1, 2, \dots \Leftrightarrow \theta = 0$  i.e.  $\hat{H}_{\text{eff}} = \frac{1}{2} \hat{p}_\phi^2$ .  
 $\hat{H}_{\text{eff}}$  is invariant under  $\hat{p}_\phi \mapsto -\hat{p}_\phi$ .  $\hat{p}_\phi = 0$  is invariant under both sym.

$S = \frac{1}{2}, \frac{3}{2}, \dots \Leftrightarrow \theta = \pi$  i.e.  $\hat{H}_{\text{eff}} = \frac{1}{2} \left( \hat{p}_\phi - \frac{1}{2} \right)^2$ .  
 $\hat{H}_{\text{eff}}$  is inv. under  $\hat{p}_\phi \mapsto -\hat{p}_\phi + 1$ .  
 $\leadsto$  No simultaneous eigenstate. Doublet spectrum

Reinterpretation as an anomaly.

Let us introduce  $U(1)$  gauge field  $A : d\phi \Rightarrow d\phi + A$ .

$$Z_\theta[A] = \int \mathcal{D}\phi \exp \left( -\frac{1}{2} \int |d\phi + A|^2 + i \frac{\theta}{2\pi} \int (d\phi + A) \right).$$

Perform  $\mathbb{Z}_2$  transformation :  $\phi \mapsto -\phi$ ,  $A \mapsto -A$ .

$$\left[ \begin{array}{l} A + \theta = 0 \text{ (i.e. } S=1, 2, \dots), \\ Z_0[A] \rightarrow \int \mathcal{D}\phi e^{-\frac{1}{2} \int |-d\phi - A|^2} = Z_0[A]. \end{array} \right]$$

$$A + \theta = \pi \text{ (i.e. } S=\frac{1}{2}, \frac{3}{2}, \dots)$$

$$Z_\pi[A] = \int \mathcal{D}\phi e^{-\frac{1}{2} \int |d\phi + A|^2 + i \frac{\pi}{2\pi} \int (-d\phi - A)} = e^{\underbrace{-i\pi A}_{\text{Anomaly}}} \cdot Z_\pi[A]$$



Summary for QM of a spin  $\hat{H} = J \hat{S}_z^2$ .

- $S = 1, 2, 3, \dots$

Symmetry  $U(1)$  and  $\mathbb{Z}_2$ .  $Z[A] \xrightarrow{\mathbb{Z}_2} Z[A]$ .

No anomaly for these sym  $\Rightarrow$  Singlet state exists.

- $S = \frac{1}{2}, \frac{3}{2}, \dots$

Same sym  $U(1)$  and  $\mathbb{Z}_2$ , but  $Z[A] \xrightarrow{\mathbb{Z}_2} e^{-iSA} Z[A]$ .

Gauging  $U(1)$  breaks  $\mathbb{Z}_2 \Rightarrow$  Anomaly

$\Rightarrow$  All spectra are doublets.

't Hooft anomaly matching (in a generalized form)

't Hooft anomaly

Assume  $d$ -dim. QFT has a symmetry  $G$ .

$\{ A : G\text{-gauge field}$

$\{ A \rightarrow A + \delta_\lambda A : G\text{-gauge transformation.}$

Compute the partition function with this background,  $Z[A]$ .

$$Z[A + \delta_\lambda A] = \exp\left(i \int \underbrace{\mathcal{A}(\lambda, A)}_{\substack{\text{d-dim. local functional of } A, \lambda}}\right) \cdot Z[A]$$

$\mathcal{A}$  is called an 't Hooft anomaly if  $\mathcal{A} \neq \delta_\lambda(\text{d-dim. func. of } A)$ .

Anomaly matching

't Hooft anomaly is RG-invariant.



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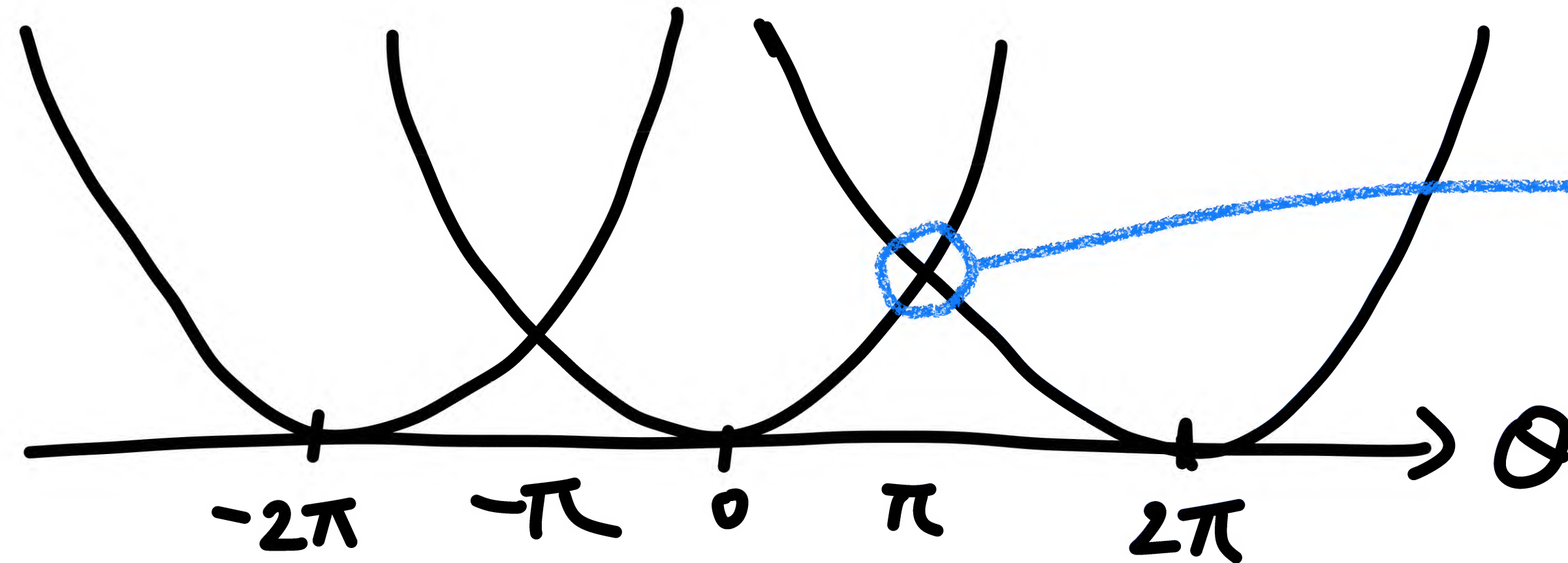
## 3. QCD (-like) theories

$SU(N)$  Yang-Mills theory

$$S = \frac{1}{g^2} \int |F|^2 + i \frac{\theta}{8\pi^2} \int \text{tr}(F \wedge F)$$

$\theta \times \text{instanton \#} \rightarrow \theta \sim \theta + 2\pi$

Yang-Mills vacua have interesting response for  $\theta$



spontaneous  
CP breaking

(Large- $N$  : Witten '80, '98  
Chiral model : Dashen '71, Di Vecchia, Veneziano '80  
...)



Intuitive explanation via dual superconductivity.

(At least in Abelianized regime)

there are monopole/dyon  
in YM theory

$$(\vec{e}, \vec{m}) = (n\vec{\alpha}_i, \vec{\alpha}_i).$$

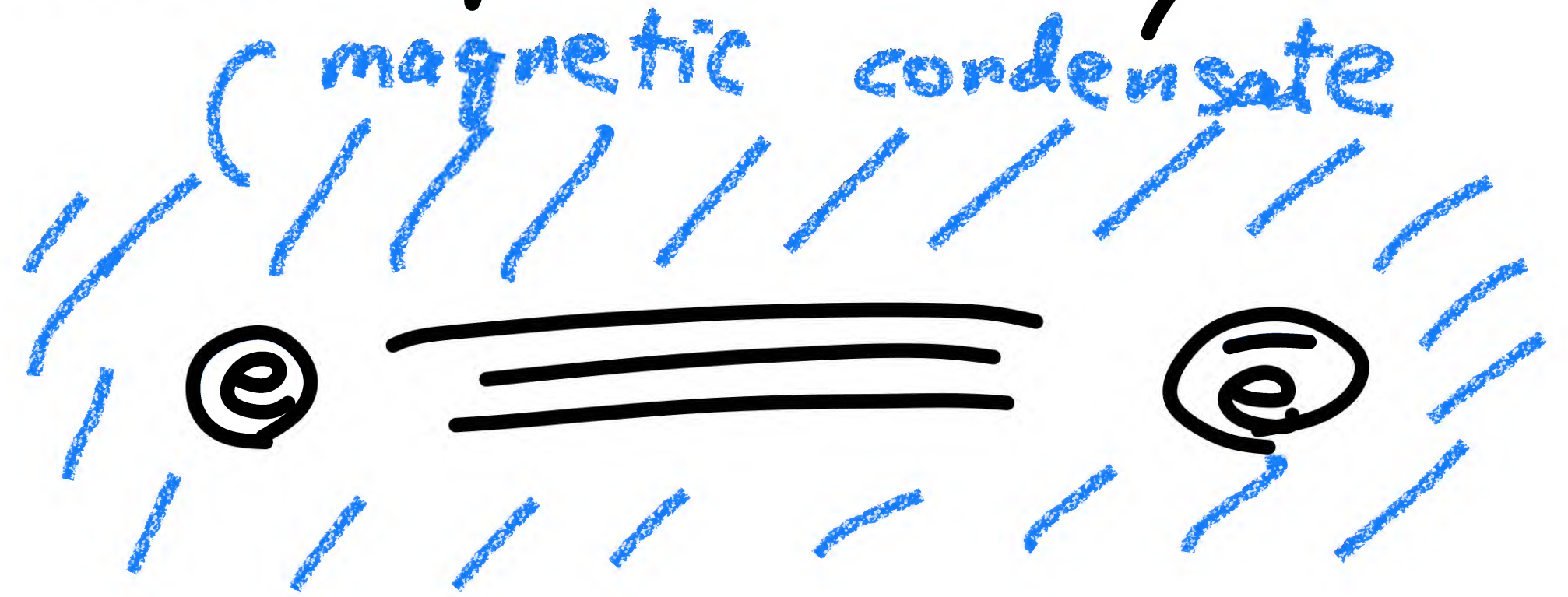
$$\text{Coulomb energy} \sim g^2 e^2 + \frac{1}{g^2} m^2.$$

With the  $\theta$ -angle, Witten effect tells

$$\begin{aligned} \text{Coulomb energy} &\sim g^2 \left( e + \frac{\theta}{2\pi} m \right)^2 + \frac{1}{g^2} m^2 \\ &\sim g^2 \left( n + \frac{\theta}{2\pi} \right)^2 \end{aligned}$$

$-\pi < \theta < \pi \Rightarrow$  Monopole (i.e.  $n=0$ ) is preferred as condensate.  
 $\pi < \theta < 3\pi \Rightarrow$  Dyon ( $n=1$ ) is preferred.

(<sup>4</sup>Hooft '81  
Candy, Rabinovici '82)

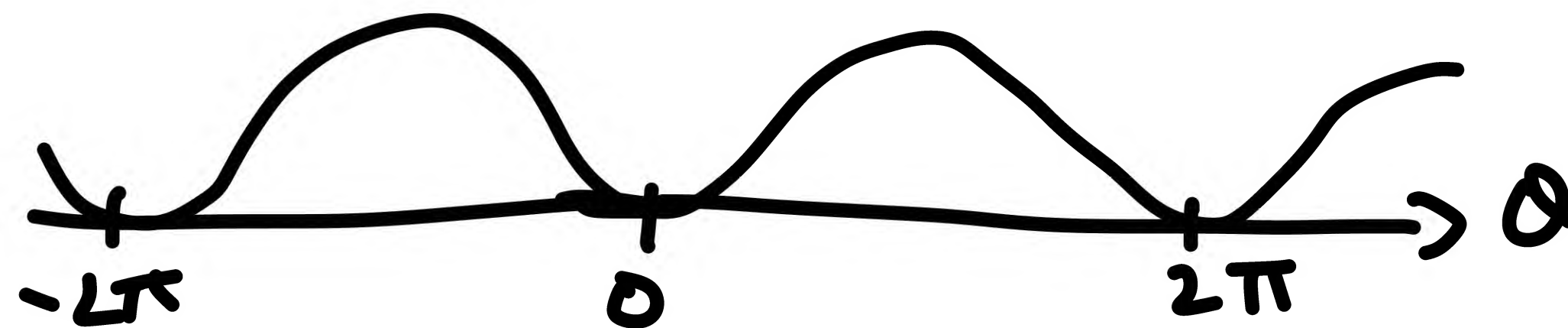


I like this intuition, but it is also puzzling:

No order parameter distinguishes monopole/dyon condensates.

~> Landau's classification does not require CP-breaking at  $\theta = \pi$ .

What's wrong with



?

- This is inconsistent with 't Hooft anomaly involving the center symmetry. (Gaiotto, Kapustin, Komargodski, Seiberg '17)



# Center symmetry

Entering the graduate school, learning non-Abelian YM theories, we are told about a **mysterious** sym., center sym.

- Standard story :
- YM does not have <sup>global</sup> symmetry. (except Poincaré & charge-conj.)
  - But, Confinement/Higgs phases are separated.
  - **Once you compactify on a torus**,  $\mathbb{Z}_N$  sym appears.
- Polyakov loop  $P \rightarrow e^{\frac{2\pi i}{N}} P$ .



# $\mathbb{Z}_N$ 1-form symmetry

1-form symmetry provides a systematic tool to formulate

center symmetry on a general 4-dim. spacetime.

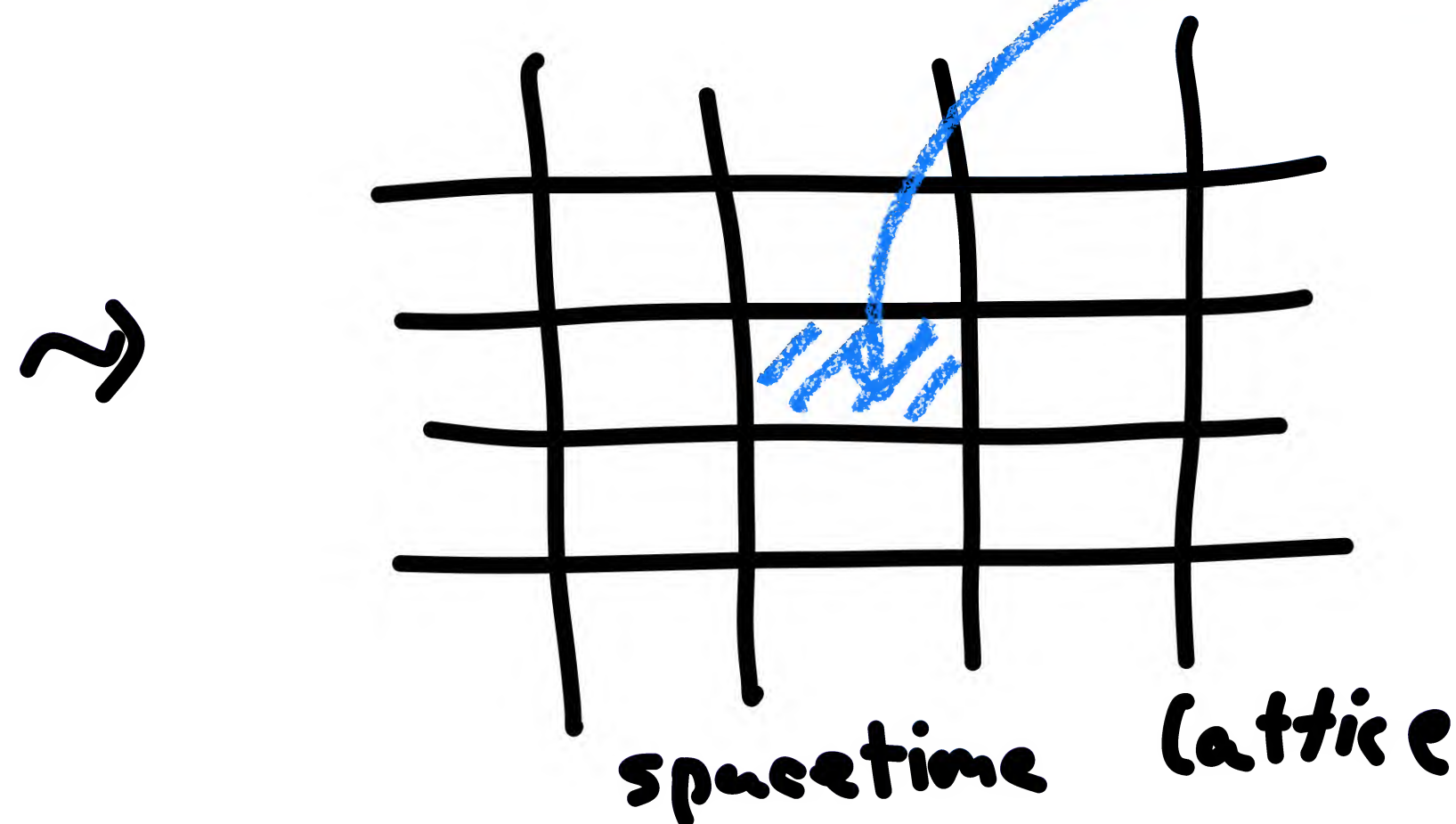
(Gaiotto, Kapustin, Seiberg, Willet '14)

(Roughly)  
 $\mathbb{Z}_N$  center  
vortex



$$= e^{\frac{2\pi i}{N}} W(C).$$

$\mathbb{Z}_N$ -twist on a plaquette. (= Gukov-Witten surface operator)

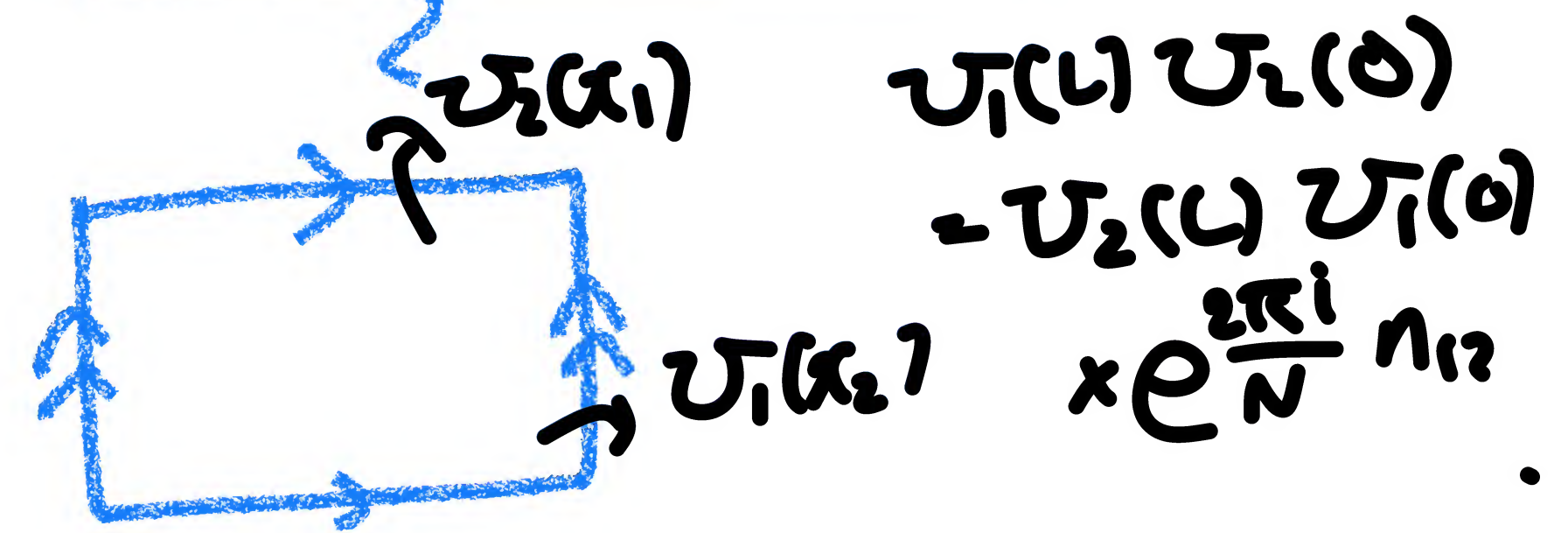


- Location of the twist can be changed freely  $\Leftrightarrow$  Conservation law.
- If the move crosses  $W(C)$ ,  $\mathbb{Z}_N$  phase appears.



Using this (abstract/fancy) terminologies,

we can make the precise meaning of 'Hooft twisted b.c.'



Gauging of  $\mathbb{Z}_N$  1-form sym

$\Rightarrow \mathbb{Z}_N$  2-form gauge field  $B$

$\int_{(\mathbb{T}^4)_{1,2}} B = \frac{2\pi}{N} n_{12}$  is the 'Hooft twist.

Anomaly involving  $\mathbb{Z}_N$  1-form sym. at  $\theta = \pi$ .

Introducing 't Hooft twist.

$$Q_{\text{top}} = - \underbrace{\frac{1}{N} \times \frac{n_{ij} \tilde{n}_{ij}}{4}} + \text{integer.} \quad (\text{van Baal '82})$$

$-\frac{N}{8\pi^2} \int B \wedge B$  in the current terminology.

Using this,

$$\mathbb{Z}_{\theta=0}[B] \xrightarrow{\text{CP}} \mathbb{Z}_{\theta=0}[B]$$

but

$$\mathbb{Z}_{\theta=\pi}[B] \xrightarrow{\text{CP}} \underbrace{e^{-i \frac{N}{4\pi} \int B^2}}_{\text{Anomaly.}} \mathbb{Z}_{\theta=\pi}[B].$$



What do we get?

Monopole/dyon condensing vacua cannot be distinguished by the Landau's order parameter.

However <sup>~ monopole vacuum</sup>

$$Z_{\theta=0}[B] = \underline{1} |Z_{\theta=0}[B]|$$

different  
phase factors  
with B-field.

$$Z_{\theta=2\pi}[B] = \underline{e^{i \frac{N}{4\pi} \int B \wedge B}} |Z_{\theta=0}[B]|$$

dyon vacuum

These two states are different as Symmetry-Protected Topological phase with  $\mathbb{Z}_N$  1-form symmetry.

(Gaiotto, Kapustin, Komargodski, Seiberg '17)  
(Tanizaki, Kikuchi, '17 ...)



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↳ I'm skipping many details and present results.

Please see the next talk by Takuya Furusawa.



# Chiral symmetry vs. Confinement in QCD

QCD with fundamental quarks:



Chiral restoration occurs at the temperature, around which  $\langle P \rangle$  rises up.

But, fund. quarks explicitly break center symmetry.

~> Can we make the precise connection?

## Two interesting setups

$\mathbb{Z}_N$ -twisted QCD (Kouno et al. '12 ... , Poppitz, Salejmanpasic '13, Iritani, Misumi, Ito '15)  
Take  $N_c = N_f (= N)$  and the  $SU(N)_F$ -twisted b.c. for quarks:  
$$\hat{q}_f(x, x_4 + L) = e^{\frac{2\pi i}{N} f} \hat{q}_f(x, x_4) \quad (f=1, \dots, N).$$

$\leadsto$  There is a center-like sym:

$$P \rightarrow e^{\frac{2\pi i}{N}} P, \quad \hat{q}_f \rightarrow \hat{q}_{f+1}.$$

Large- $N_c$  QCD ( $N_f = \text{fixed}$ )

String-breaking by dynamical quarks is  $\frac{1}{N_c}$ -suppressed.

$\leadsto \mathbb{Z}_{N_c}$  center sym. is approximately good.



In these setups, we can show

unbroken center(-like) sym  $\Rightarrow$  chiral symmetry breaking  
(Tanizaki, (Kikuchi), Misumi, Sakai '17)  
(Shimizu, Yonekura '17 ...)

Faithful symmetry of QCD

$$\underline{SU(N_f)_L \times SU(N_f)_R \times U(1)_V}$$

$$\mathbb{Z}_{N_f} \times \mathbb{Z}_{N_c}$$

nontrivial quotient exists.

$$\Rightarrow \begin{Bmatrix} B_f \\ B_c \end{Bmatrix}$$

can be introduced

$$\mathbb{Z}[B_c, B_f] \xrightarrow{\text{discrete axial rotation}} \underbrace{e^{i \frac{N}{2\pi} \int B_c \wedge B_f}}_{\text{Anomaly}} \mathbb{Z}[B_c, B_f].$$

# Summary

- Symmetry and Anomaly provide us a useful guideline toward interesting nontrivial dynamics
- Of course, this is an "antique" technology, but many new results are still found there.